



|   |                                       |                                |
|---|---------------------------------------|--------------------------------|
| FORM PTO-1449   | SERIAL NO. 10/720/603<br>Not Assigned | CASE NO. 11472/11              |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>November 24, 2003      | GROUP ART UNIT                 |
| (use several sheets if necessary)   |                                       | APPLICANT(S): Das Gupta et al. |

| REFERENCE DESIGNATION |    |                    | U.S. PATENT DOCUMENTS |            |                    |                |
|-----------------------|----|--------------------|-----------------------|------------|--------------------|----------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT<br>NUMBER | DATE                  | NAME       | CLASS/<br>SUBCLASS | FILING<br>DATE |
| /L.Y./                | A1 | U.S. 5789389       | Aug 4, 1998           | Tarasewicz |                    | Mar 17, 1995   |

| FOREIGN PATENT DOCUMENTS |  |                    |      |         |                    |                       |
|--------------------------|--|--------------------|------|---------|--------------------|-----------------------|
| EXAMINER<br>INITIAL      |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|                          |  |                    |      |         |                    |                       |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|---------------------|--|--|
| /L.Y./              | A2   | Zaborina, O. et al., Infect. Immun. 67: 5231-5242 (1999)   |
|                     | A3   | Melnikov, A. et al., Mol. Microbiol. 36: 1481-1493 (2000)  |
|                     | A4   | Punj, V. et al., Infect. Immun. 68: 4930-4937 (2000)   |
|                     | A5   | Zaborina, O. et al., Microbiology 146: 2521-2530 (2000)  |
|                     | A6   | Kirn, David H. et al., The Journal of Clinical Investigation, vol. 105, No. 7, 837-839 (2000)    |
|                     | A7   | Sznol, Mario et al., vol. 105, No. 8, 1027-1030 (2000)   |
|                     | A8   | Pawelek, John H. et al., Cancer Research, vol. 57, 4537-4544 (1997)                              |
|                     | A9   | Potera, Carol, ASM News, vol. 66, No. 6 (2000)   |
|                     | A10  | Alexandroff, Anton B. et al., The Lancet, vol. 353, 1689-1694 (May 15, 1999)                     |
|                     | A11  | O'Donnell, Michael A., Tibtech, vol. 15, 512-517 (December 1997)                                 |
|                     | A12  | Paglia, Paola et al., Cancer Immunol. Immunother., 46:88-92 (1998)                               |
|                     | A13  | Hunter, Christopher A. et al., The Journal of Immunology, 166: 5878-5881 (2001)                  |
|                     | A14  | L. H. Dang et al., Proc. Nat. Acad. Sci. USA, 98, 15155-15160 (2001)                             |
|                     | A15  | R. K. Jain and N. S. Forbes, Proc. Natl. Acad. Sci. USA, 98, 14748-14750 (2001)                  |
|                     | A16  | A. W. Confer and Janet A. Durham, Am. J. Res., vol. 53, no. 5, pp 646-52 (1992)                  |
|                     | A17  | T. Wu et al., Antimicrobial Agents and Chemotherapy, vol. 44, no. 5, pp 1200-08 (May 2000)       |
|                     | A18  | PCT International Application No. PCT/US02/01408 - International Search Report 2004              |
|                     | A19  | M. Kukimoto et al., FEBS Letters, 394, pp 87-90 (1996)   |
|                     | A20  | F. Cutruzzola et al., J. Inorganic Chemistry, 88, pp 353-61 (2002)                               |
|                     | A21  | L. M. Murphy et al., J. Mol. Biol., 315, pp 859-71 (2002)  |
|                     | A22  | V Punj & A. M. Chakrabarty, Cellular Microbiology, 5(4), pp 225-31 (2003)                        |
|                     | A23  | T Yamada et al., PNAS, Vol. 99, no. 22, pp 14098-103 (2002)                                      |
|                     | A24  | T. Yamada et al., Infection & Immunity, vol. 70, no. 12, pp 7054-62 (2002)                       |
|                     | A25  | M. Goto et al., Mol. Bio., 47(2), pp 549-559 (2003)  |
|                     | A26  | V. Punj et al., Biochemical and Biophysical Research Communications, vol. 312, pp. 109-14 (2003) |
| ✓                   | A27  | Vasu Punj et al., Oncogene 2003 - Proof copy   |

|                    |                            |
|--------------------|----------------------------|
| EXAMINER /Lei Yao/ | DATE CONSIDERED 09/30/2008 |
|--------------------|----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.